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## THE LINDELÖF NUMBER GREATER THAN CONTINUUM IS $u$ -INVARIANT

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*Communicated by S. P. Gul'ko*

ABSTRACT. Two Tychonoff spaces  $X$  and  $Y$  are said to be  $l$ -equivalent ( $u$ -equivalent) if  $C_p(X)$  and  $C_p(Y)$  are linearly (uniformly) homeomorphic. N. V. Velichko proved that countable Lindelöf number is preserved by the relation of  $l$ -equivalence. A. Bouziad strengthened this result and proved that any Lindelöf number is preserved by the relation of  $l$ -equivalence. In this paper it has been proved that the Lindelöf number greater than continuum is preserved by the relation of  $u$ -equivalence.

**Introduction.** Our aim is to prove the following main result of the paper.

**Theorem 0.1.** *Let the spaces  $C_p(X)$  and  $C_p(Y)$  be uniformly homeomorphic and the Lindelöf number of  $X$  or  $Y$  greater than continuum. Then  $l(X) = l(Y)$ .*

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*Key words:* Function spaces,  $u$ -equivalence,  $u$ -invariant, Lindelöf number, set-valued mappings.

For the proof we need some auxiliary concepts. In the first section, we consider set-valued mappings  $K$  and  $K_\varepsilon$  of the space  $X$  to  $Y$  generated by the uniform homeomorphism of the spaces  $C_p(Y)$  and  $C_p(X)$ , and formulate their properties. In the second section, we prove the main result. Section 3 is devoted to the proof of the auxiliary results.

**Terminology and notations.** In notation and terminology we follow R. Engelking's book [2]. The spaces considered in this paper are taken to be Tychonoff spaces. The symbols  $X, Y$  are used only for topological spaces.  $\mathbb{R}$  denotes the usual space of real numbers,  $\mathbb{N} = \{1, 2, \dots\}$  is the set of natural numbers. The symbol  $\overline{k, m}$  denotes the set of all natural numbers  $n$  such that  $k \leq n \leq m$ , where  $k, m \in \mathbb{N}$ ,  $k \leq m$ .  $\mathbb{R}^X$  is a space of all real-valued functions on  $X$ ,  $C_p(X)$  is a space of all real-valued continuous functions on  $X$  equipped with the topology of pointwise convergence.  $\text{Fin } \mathcal{F}$  is a family of all finite subsets of a set  $\mathcal{F}$ .

The restriction of the mapping  $f$  to the subset  $A$  is denoted by  $f|_A$ .  $f^{-1}(A)$  is a preimage of the set  $A$  under the mapping  $f$ . If  $A$  is an interval, then we shall use the symbol  $f^{-1}A$  instead of  $f^{-1}(A)$ .  $|A|$  denotes the cardinality of  $A$ ,  $\text{Int } A$  denotes the interior of  $A$ . A subset  $A$  of  $X$  will be called functionally closed (functionally open) if  $A = f^{-1}(1)$  ( $A = f^{-1}(0, 1]$  respectively) for some continuous function  $f: X \rightarrow [0, 1]$ . We say that the set  $A$  is a  $G_\delta$ -subset of  $X$  if  $A$  can be represented as the intersection of some countable family of open subsets of  $X$ .

The cardinal number assigned to the set of all positive integers is denoted by the symbol  $\aleph_0$ , and the cardinal number assigned to the set of all real numbers is denoted by  $c$  (continuum). The symbol  $\tau$  denote infinite cardinal only. For any cardinal number  $\tau$  symbol  $\omega(\tau)$  denotes the initial ordinal number  $\lambda$  such that  $|\lambda| = \tau$ . The Lindelöf number  $l(X)$  of a space  $X$  is the smallest infinite cardinal  $\tau$  such that any open cover of  $X$  contains a subcover of cardinality at most  $\tau$ .

For a set-valued mapping  $p: X \rightarrow Y$  and sets  $A \subset X$  and  $B \subset Y$ , the set  $p(A) = \bigcup \{p(x): x \in A\}$  is called the image of  $A$  under  $p$ , and the set  $p^{-1}(B) = \{x \in X: p(x) \cap B \neq \emptyset\}$  is called the preimage of  $B$  under  $p$ . A set-valued mapping  $p: X \rightarrow Y$  is called lower semicontinuous if for every open subset of  $Y$  its preimage under  $p$  is open in  $X$ , and  $p$  is called surjective if for every  $y \in Y$  there exists an  $x \in X$  such that  $y \in p(x)$ .

# 1. Set-valued mappings concerned with uniform homeomorphisms of function spaces and their properties.

**Definition 1.1.** Let  $h: C_p(Y) \rightarrow C_p(X)$  be a uniform homeomorphism. Fix  $x \in X$ ,  $\delta > 0$ , and finite subset  $K \subset Y$ , and put

$$a(x, K, \delta) = \sup\{|h(g')(x) - h(g'')(x)| : \\ g', g'' \in C_p(Y), |g'(y) - g''(y)| < \delta \text{ for all } y \in K\}.$$

This notion was introduced by S. P. Gul'ko in [3]. Next, we define

$$a(x, K, 0) = \sup\{|h(g')(x) - h(g'')(x)| : \\ g', g'' \in C_p(Y), g'(y) = g''(y) \text{ for all } y \in K\}.$$

(if the set  $K$  is empty, then the supremum is taken over all  $g', g'' \in C_p(Y)$ ). It is obvious that if  $0 \leq \delta_1 \leq \delta_2$ , then  $a(x, K, \delta_1) \leq a(x, K, \delta_2)$ , and if  $K_1 \subset K_2 \subset Y$ , then  $a(x, K_2, \delta) \leq a(x, K_1, \delta)$  for all  $\delta \geq 0$ . It was proved in [3] that for every  $x \in X$  there exists a nonempty finite subset  $K(x) \subset Y$  such that

1.  $a(x, K(x), \delta) < \infty$  for any  $\delta > 0$ ,
2.  $a(x, K', \delta) = \infty$  for every proper subset  $K'$  of  $K(x)$  and for any  $\delta > 0$ ,
3. If  $a(x, K, \delta) < \infty$  for some finite subset  $K \subset Y$  and  $\delta > 0$ , then  $K(x) \subset K$ .

S. P. Gul'ko also proved that if  $a(x, K, \delta_0) < \infty$  for some finite subset  $K \subset Y$  and  $\delta_0 > 0$ , then  $a(x, K, \delta) < \infty$  for all  $\delta > 0$ . We now prove that the set  $K(x)$  has the following property, which is stronger than the property 2.

4.  $a(x, K', 0) = \infty$  for every proper subset  $K'$  of  $K(x)$ .

To prove this statement we need the following

**Lemma 1.2.** If  $a(x, K, 0) < \infty$ , then  $a(x, K, \delta) < \infty$  for all  $\delta > 0$ .

**Proof.** Fix  $x \in X$  and finite subset  $K \subset Y$  such that  $a(x, K, 0) < \infty$ . We prove that the function  $\delta \mapsto a(x, K, \delta)$  is continuous at the point 0. Let  $\varepsilon > 0$ . Since  $h$  is a uniform homeomorphism, there exist a finite subset  $K' \subset Y$  and  $\delta > 0$  such that for all  $g', g'' \in C_p(Y)$  we have the implication

$$(|g'(y) - g''(y)| < \delta \text{ for all } y \in K') \Rightarrow |h(g')(x) - h(g'')(x)| < \varepsilon.$$

Let  $g', g'' \in C_p(Y)$  and  $|g'(y) - g''(y)| < \delta$  for all  $y \in K$ . Since  $Y$  is a Tychonoff space, there is  $g \in C_p(Y)$  such that

$$g(y) = \begin{cases} g'(y) & \text{if } y \in K; \\ g''(y) & \text{if } y \in K' \setminus K. \end{cases}$$

Then  $|g(y) - g''(y)| < \delta$  for all  $y \in K'$ , hence  $|h(g)(x) - h(g'')(x)| < \varepsilon$ . Now by the triangle inequality we obtain

$$|h(g')(x) - h(g'')(x)| \leq |h(g')(x) - h(g)(x)| + |h(g)(x) - h(g'')(x)| < a(x, K, 0) + \varepsilon.$$

Passing to the supremum over all  $g', g'' \in C_p(Y)$  such that  $|g'(y) - g''(y)| < \delta$  for all  $y \in K$ , we have inequality  $a(x, K, \delta) \leq a(x, K, 0) + \varepsilon$ , which implies that the function  $\delta \mapsto a(x, K, \delta)$  is continuous at the point 0. Therefore there exists  $\delta_0 > 0$  such that  $a(x, K, \delta_0) < \infty$ , hence  $a(x, K, \delta) < \infty$  for all  $\delta > 0$ .  $\square$

For any  $x \in X$  we put  $a(x) = a(x, K(x), 0)$ . Using this notation we have the following simple assertions.

- (K1)** If  $g', g'' \in C_p(Y)$  and  $g'|_{K(x)} = g''|_{K(x)}$ , then  $|h(g')(x) - h(g'')(x)| \leq a(x)$ .
- (K2)** For any proper subset  $K' \subset K(x)$  and any real  $b$  there exist functions  $g', g'' \in C_p(Y)$  such that  $g'|_{K'} = g''|_{K'}$  and  $|h(g')(x) - h(g'')(x)| > b$ .

Besides, this mapping surjectively maps the space  $X$  onto  $Y$  (see Lemma 3.2 on page 158), i.e., for any  $y \in Y$  there exists  $x \in X$  such that  $y \in K(x)$ .

For every  $x \in X$  and every  $\varepsilon > 0$  we define nonempty finite set  $K_\varepsilon(x) \subset Y$  satisfying the following conditions:

- (KE1)**  $a(x, K_\varepsilon(x), 0) \leq \varepsilon$ ;
- (KE2)**  $a(x, K', 0) > \varepsilon$  for every proper subset  $K'$  of  $K_\varepsilon(x)$ .

It is easy to check that such a set always exists. Indeed, since  $h$  is uniformly continuous, it follows that there exist  $\delta > 0$  and a finite set  $K \subset Y$  such that for all  $g', g'' \in C_p(Y)$  we have the implication  $(|g'(y) - g''(y)| < \delta \text{ for all } y \in K) \Rightarrow |h(g')(x) - h(g'')(x)| \leq \varepsilon$ . Then  $a(x, K, 0) \leq \varepsilon$ . Reducing the set  $K$  until it satisfies the condition (KE2), we obtain the set  $K_\varepsilon(x)$ .

There can be several sets satisfying properties (KE1) and (KE2); then we denote by  $K_\varepsilon(x)$  anyone of them. By the property 3 of  $K(x)$  we have  $K(x) \subset K_\varepsilon(x)$  for every  $\varepsilon > 0$ , and by the property 4 we have  $K(x) = K_a(x)$  for any  $a \geq a(x)$ . Thus  $K(x)$  is the smallest of all sets  $K_\varepsilon(x)$ .

The following lemma is analogous to result obtained by O. G. Okunev [4] for  $t$ -equivalence.

**Lemma 1.3.** *Let  $x_0 \in X$ ,  $\varepsilon > 0$ ,  $U$  is an open subset of  $Y$  such that  $K(x_0) \cap U \neq \emptyset$ . Then there is an open neighborhood  $V$  of  $x_0$  such that  $K_\varepsilon(x) \cap U \neq \emptyset$  for any  $x \in V$ .*

**Proof.** We can assume that  $K(x_0) \cap U = \{y_0\}$ . Put  $K' = K(x_0) \setminus \{y_0\}$ . By the property 2 of  $K(x)$  there exist functions  $g_1, g_2 \in C_p(Y)$  coinciding on  $K'$  such that  $|h(g_1)(x_0) - h(g_2)(x_0)| > \varepsilon + a(x)$ . Since  $Y$  is completely regular, it follows that there exists a function  $g_0 \in C_p(Y)$  coinciding with  $g_1$  on  $Y \setminus U$  such that  $g_0(y_0) = g_2(y_0)$ . Then  $g_0|_{K(x_0)} = g_2|_{K(x_0)}$  and  $|h(g_0)(x_0) - h(g_2)(x_0)| \leq a(x)$ . By the triangle inequality we obtain that

$$|h(g_1)(x_0) - h(g_0)(x_0)| \geq |h(g_1)(x_0) - h(g_2)(x_0)| - |h(g_0)(x_0) - h(g_2)(x_0)| > \varepsilon.$$

Let us prove that the set  $V$  defined by the formula  $V = \{x \in X : |h(g_1)(x) - h(g_0)(x)| > \varepsilon\}$  is the required open neighborhood of  $x_0$ . Assume the contrary. Let  $x \in V$  be a point such that  $K_\varepsilon(x) \cap U = \emptyset$ . Then  $g_1$  coincides with  $g_0$  on  $K_\varepsilon(x)$ . Therefore  $|h(g_1)(x) - h(g_0)(x)| \leq \varepsilon$ , a contradiction to the assumption that  $x \in V$ .  $\square$

The last theorem yields the following corollaries.

**Corollary 1.4.** *Let  $x_0 \in X$ ,  $\varepsilon > 0$ ,  $k \in \mathbb{N}$ , and let  $U$  be an open subset of  $Y$  such that  $|K(x_0) \cap U| \geq k$ . Then there is an open neighborhood  $V$  of  $x_0$  such that  $|K_\varepsilon(x) \cap U| \geq k$  for all  $x \in V$ .*

The proof is trivial.

**Corollary 1.5.** *Let  $U$  be an open subset of  $Y$ . Then  $K^{-1}(U)$  is a  $G_\delta$ -set in  $X$ .*

**Proof.** Let  $K^{-1}(U) \neq \emptyset$ . Since  $K(x) \subset K_m(x)$  for all  $m \in \mathbb{N}$  and there is a natural number  $n$  such that  $K(x) = K_n(x)$ , it follows that  $K^{-1}(U) = \bigcap_{m \in \mathbb{N}} K_m^{-1}(U)$ . By Corollary 1.4 we have  $K^{-1}(U) \subset \text{Int } K_m^{-1}(U)$  for every  $m \in \mathbb{N}$ , consequently,  $K^{-1}(U) = \bigcap_{m \in \mathbb{N}} \text{Int } K_m^{-1}(U)$ .  $\square$

It is well known (see Lemma 3.5 on page 161) that every uniform homeomorphism  $h$  between  $C_p$ -spaces can be extended to a uniform homeomorphism between the spaces of all real-valued functions. We shall denote this new homeomorphism also by  $h$ .

**Definition 1.6.** Fix a point  $x \in X$ ,  $\delta > 0$ , and a finite subset  $K \subset Y$ , and put

$$\bar{a}(x, K, \delta) = \sup\{|h(g')(x) - h(g'')(x)| : g', g'' \in \mathbb{R}^Y, |g'(y) - g''(y)| < \delta \text{ for all } y \in K\},$$

$$\bar{a}(x, K, 0) = \sup\{|h(g')(x) - h(g'')(x)| : g', g'' \in \mathbb{R}^Y, g'(y) = g''(y) \text{ for all } y \in K\}.$$

**Lemma 1.7.** Let  $h: \mathbb{R}^Y \rightarrow \mathbb{R}^X$  be a uniform homeomorphism such that  $h(C_p(Y)) = C_p(X)$ . Then  $a(x, K, \delta) = \bar{a}(x, K, \delta)$  for all  $x \in X$ , any finite set  $K \subset Y$ , and  $\delta \geq 0$ .

*Proof.* It follows from the definition that  $a(x, K, \delta) \leq \bar{a}(x, K, \delta)$ . Let us prove the reverse inequality. Let  $\delta > 0$ . Take  $\varepsilon > 0$  and two functions  $g_1, g_2 \in \mathbb{R}^Y$  such that

$$(1.1) \quad |g_1(y) - g_2(y)| < \delta \text{ for all } y \in K.$$

Since  $h$  is a uniform homeomorphism, it follows that there exist a finite set  $K' \subset Y$  and  $\Delta > 0$  such that for all  $g', g'' \in \mathbb{R}^Y$  we have the implication

$$(1.2) \quad (|g'(y) - g''(y)| < \Delta \text{ for all } y \in K') \Rightarrow |h(g')(x) - h(g'')(x)| < \varepsilon/2.$$

There are functions  $g'_0, g''_0 \in C_p(Y)$  such that  $g'_0|_{K \cup K'} \equiv g_1|_{K \cup K'}$  and  $g''_0|_{K \cup K'} \equiv g_2|_{K \cup K'}$ . Then  $|g'_0(y) - g''_0(y)| < \delta$  for all  $y \in K$ . Observe that from (1.2) it follows that  $|h(g_1)(x) - h(g'_0)(x)| < \varepsilon/2$  and  $|h(g_2)(x) - h(g''_0)(x)| < \varepsilon/2$ , and – by virtue of the triangle inequality – we have  $a(x, K, \delta) \geq |h(g'_0)(x) - h(g''_0)(x)| > |h(g_1)(x) - h(g_2)(x)| - \varepsilon$ . Passing to the supremum over all  $g_1, g_2 \in \mathbb{R}^Y$  satisfying condition (1.1) we obtain inequality  $a(x, K, \delta) \geq \bar{a}(x, K, \delta) - \varepsilon$ . Since  $\varepsilon$  being an arbitrary positive number, this implies that  $a(x, K, \delta) = \bar{a}(x, K, \delta)$ . Equality  $a(x, K, 0) = \bar{a}(x, K, 0)$  is proved analogously.  $\square$

## 2. Main result.

**Theorem 2.1.** Let  $X$  and  $Y$  be  $u$ -equivalent,  $\tau$  a cardinal not less than the continuum, and  $l(X) \leq \tau$ . Then  $l(Y) \leq \tau$ .

Proof. Since any uniform homeomorphism between  $C_p$ -spaces can be extended to a uniform homeomorphism between the spaces of all real-valued functions, one can assume without loss of generality that there is a uniform homeomorphism  $h$  of  $\mathbb{R}^Y$  onto  $\mathbb{R}^X$  satisfying the following conditions:

1.  $h(C_p(Y)) = C_p(X)$ ;
2.  $h$  takes zero function  $0_Y \in \mathbb{R}^Y$  to zero function  $0_X \in \mathbb{R}^X$ .

To prove the theorem we shall need some notation.

Let  $p: X \rightarrow Y$  be a set-valued mapping of  $X$  to  $Y$  and let  $U \subset Y$  be an arbitrary set. Put

$$p^*(U) = \{x \in X: p(x) \subset U\}.$$

By  $\mathcal{T}$  we shall denote the family of all open subsets of  $Y$ . Let  $\mathcal{U}$  be an open cover of  $Y$ ,  $\tau$  an infinite cardinal. A cover  $\mathcal{U}$  will be called  $\tau$ -trivial if it contains a subcover of cardinality at most  $\tau$ . Otherwise it will be called  $\tau$ -nontrivial. This notion was introduced by A. Bouziad in [1]. Put

$$[\mathcal{U}]_\tau = \left\{ \bigcup \mathcal{U}': \mathcal{U}' \subset \mathcal{U}, |\mathcal{U}'| \leq \tau \right\}.$$

We say that the set  $A$  is an  $F_\tau$ -subset of  $X$  if  $A$  can be represented as the union of some family, of cardinality at most  $\tau$ , of closed subsets of  $X$ . The complements of  $F_\tau$ -subsets will be called  $G_\tau$ -subsets. If  $\tau = \aleph_0$ , then we shall write  $F_\sigma$  and  $G_\delta$  instead of  $F_{\aleph_0}$  and  $G_{\aleph_0}$  respectively. The symbol  $\mathcal{F}_\tau$  denotes the family of all  $F_\tau$ -subsets of  $X$ ,  $\mathcal{G}_\tau$  is a family of all  $G_\tau$ -subsets of  $X$ . The family of all subsets  $A$  of  $X$  such that  $l(A) \leq \tau$  will be denoted by  $\mathcal{L}_\tau$ .

Let  $l(X) \leq \tau$ , where  $\tau \geq c$ . Assume that  $l(Y) > \tau$  to obtain a contradiction. It means that there exists  $\tau$ -nontrivial open cover  $\mathcal{U}$  of  $Y$ . Without loss of generality we can assume that  $\mathcal{U}$  is closed under the operation of finite union and  $\mathcal{U} \subset \mathcal{B}$ , where  $\mathcal{B}$  is a base of  $Y$  consisting of all functionally open subsets of  $Y$ . It is well known that the family  $\mathcal{B}$  is also closed under the operation of finite union (see [2], page 43).

Define a mapping

$$U: \text{Fin } \mathcal{F}_\tau \rightarrow [\mathcal{U}]_\tau, \quad U = U(\mathcal{F}), \quad \text{where} \quad \mathcal{F} \in \text{Fin } \mathcal{F}_\tau,$$

using set-valued mappings defined in the previous section. For any  $x \in X$  put  $\rho(x) = |K(x)|$ . For every set  $F \subset X$  we define a number

$$\rho(F) = \min \{\rho(x): x \in F\},$$



which will be called the level of the set  $F$ .

Further, for any  $U \in \mathcal{T}$  and any natural numbers  $k$  and  $m$  put

$$U_m^{[k]} = \text{Int} \{ x \in X : |K_m(x) \cap U| \geq k \}.$$

Let  $\mathcal{F} = \{F_1, \dots, F_n\} \subset \mathcal{F}_\tau$ . For any nonempty set  $A \subset \{1, \dots, n\}$  we put

$$F_A = \bigcap_{i \in A} F_i, \quad \overline{\mathcal{F}} = \{F_A : A \subset \{1, \dots, n\}, F_A \neq \emptyset\}.$$

Let  $F \in \overline{\mathcal{F}}$ ,  $m \in \mathbb{N}$  and  $k = \rho(F)$ . Then the family

$$\mathcal{U}_m^{[k]} = \left\{ U_m^{[k]} : U \in \mathcal{U} \right\}$$

is an open cover of  $F$ . Indeed, since the family  $\mathcal{U}$  is closed under the operation of finite union, it follows that for every  $x_0 \in F$  there is  $U \in \mathcal{U}$  such that  $K(x_0) \subset U$ . As  $\rho(F) = k$ , it follows that  $|K(x_0) \cap U| \geq k$  and by Corollary 1.4 there exists an open neighborhood  $V$  of  $x_0$  such that  $|K_m(x) \cap U| \geq k$  for all  $x \in V$ . Then  $x_0 \in V \subset U_m^{[k]}$ , hence  $\mathcal{U}_m^{[k]}$  is an open cover of  $F$ . From the condition  $l(X) \leq \tau$  it follows that  $F \in \mathcal{L}_\tau$ ; therefore the cover  $\mathcal{U}_m^{[k]}$  contains a subcover  $\left\{ U_m^{[k]} : U \in \mathcal{U}_{F,m} \right\}$  of  $F$ , where  $\mathcal{U}_{F,m} \subset \mathcal{U}$  and  $|\mathcal{U}_{F,m}| \leq \tau$ . Put

$$U(\mathcal{F}) = \bigcup_{F \in \overline{\mathcal{F}}} \bigcup_{m \in \mathbb{N}} \left( \bigcup \mathcal{U}_{F,m} \right).$$

Obviously,  $U(\mathcal{F}) \in [\mathcal{U}]_\tau$ , and if  $\mathcal{F}_1 \subset \mathcal{F}_2$ , then  $U(\mathcal{F}_1) \subset U(\mathcal{F}_2)$ . The mapping  $U$  we shall call the constructor. A similar construction was used by N. V. Velichko in [5].

We note one important property of the constructor.

(\*) For every  $\mathcal{F} \in \text{Fin } \mathcal{F}_\tau$ , any  $F \in \overline{\mathcal{F}}$ , and any  $x \in F$  the following inequality holds:

$$(2.1) \quad |K(x) \cap U(\mathcal{F})| \geq \rho(F).$$

Indeed, for any  $x \in F$  there exist a natural number  $m$  and a set  $U \in \mathcal{U}_{F,m}$  such that  $K_m(x) = K(x)$  and  $x \in U_m^{[k]}$ ; hence  $|K(x) \cap U(\mathcal{F})| \geq |K(x) \cap U| \geq k = \rho(F)$ .

Let us recall some important properties of the set-valued mappings  $K$  and  $K_m$  defined in the previous section.

(P1) If  $g', g'' \in \mathbb{R}^Y$  and  $g'|_{K_m(x)} = g''|_{K_m(x)}$ , then  $|h(g')(x) - h(g'')(x)| \leq m$ .  
In particular, if  $g'|_{K_m(x)} \equiv 0$ , then  $|h(g')(x)| \leq m$ .

(P2) If  $g', g'' \in \mathbb{R}^Y$  and  $g'|_{K(x)} = g''|_{K(x)}$ , then  $|h(g')(x) - h(g'')(x)| \leq a(x) < \infty$ . In particular, if  $g'|_{K(x)} \equiv 0$ , then  $|h(g')(x)| \leq a(x) < \infty$ .

For each  $V \subset Y$  consider the function  $e_V \in \mathbb{R}^Y$  defined by the formula

$$e_V(y) = \begin{cases} 0, & y \in V, \\ 1, & y \notin V. \end{cases}$$

Denote by  $\mathcal{C}$  the family of all functionally closed subsets of  $Y$ . Every functionally open set  $V \subset Y$  admits a decomposition

$$(2.2) \quad V = \bigcup_{n \in \mathbb{N}} F_n, \text{ where } F_n \in \mathcal{C} \text{ and } F_n \subset F_{n+1} \text{ for all } n \in \mathbb{N}$$

(see Lemma 3.4 on page 160). Further, by decomposition of functionally open set  $V$  we mean a sequence  $(F_n)_{n \in \mathbb{N}}$  satisfying condition (2.2). If there is a decomposition  $(F_n)_{n \in \mathbb{N}}$  of  $V$  satisfying the following condition:

$$(2.3) \quad K_1^*(V) \setminus K_1^*(F_n) \neq \emptyset \text{ for all } n \in \mathbb{N},$$

then we say that the set  $V$  is *adequate*. A similar notion was introduced by A. Bouziad in [1].

For every open set  $V \in \mathcal{T}$  put

$$G(V) = \left\{ x \in X : \sup_{m \in \mathbb{N}} |h(me_V)(x)| < \infty \right\},$$

$$F(V) = \left\{ x \in X : \sup_{m \in \mathbb{N}} |h(me_V)(x)| = \infty \right\}.$$

Analogous mappings were used by A. Bouziad in [1].

**Lemma 2.2.** *The mapping  $G$  has the following properties:*

(S1)  $K^*(V) \subset G(V)$  for any  $V \in \mathcal{T}$ ;

(S2) For any expanding sequence  $(U_n)_{n \in \mathbb{N}}$  of the sets  $U_n \in \mathcal{T}$  such that

$$(2.4) \quad X = \bigcup_{k \in \mathbb{N}} \bigcap_{n \geq k} G(U_n)$$

the following condition holds:

$$Y = \bigcup_{n \in \mathbb{N}} U_n.$$

**Proof.** Let us verify that condition (S1) is satisfied. Take  $V \in \mathcal{T}$  and  $x \in K^*(V)$ . Then  $K(x) \subset V$ , hence  $me_V|_{K(x)} \equiv 0$  for any natural number  $m$  and by (P2) we have  $|h(me_V)(x)| \leq a(x) < \infty$ , therefore  $\sup_{m \in \mathbb{N}} |h(me_V)(x)| \leq a(x) < \infty$ , which implies that  $x \in G(V)$ .

Let us show that condition (S2) is fulfilled. Let  $(U_n)_{n \in \mathbb{N}}$  be an expanding sequence of the sets  $U_n \in \mathcal{T}$  such that equality (2.4) is valid. Assume that  $Y \neq \bigcup_{n \in \mathbb{N}} U_n$ . Put  $U = \bigcup_{n \in \mathbb{N}} U_n$ . Take  $y \in Y \setminus U$ . Choose a finite subset  $K' = \{x_1, \dots, x_p\} \subset X$  and  $\delta > 0$  such that for any two functions  $f', f'' \in \mathbb{R}^X$  the following implication holds:

$$(|f'(x_i) - f''(x_i)| \leq \delta \text{ for all } i \in \overline{1, p}) \Rightarrow |h^{-1}(f')(y) - h^{-1}(f'')(y)| < 1.$$

Such a choice is possible because the mapping  $h^{-1}$  is uniformly continuous. Then, as shown in [3], for any two functions  $f', f'' \in \mathbb{R}^X$  and every natural number  $n$  the following implication holds:

$$(|f'(x_i) - f''(x_i)| \leq n\delta \text{ for all } i \in \overline{1, p}) \Rightarrow |h^{-1}(f')(y) - h^{-1}(f'')(y)| < n.$$

In particular,

$$(2.5) \quad (|h(g)(x_i)| \leq n\delta \text{ for all } i \in \overline{1, p}) \Rightarrow |g(y)| < n$$

for any  $g \in \mathbb{R}^Y$ .

From equality (2.4) it follows that there is a natural number  $N$  such that  $x_i \in G(U_N)$  for all  $i \in \overline{1, p}$ . Put

$$M = \max_{i \in \overline{1, p}} \sup_{m \in \mathbb{N}} |h(me_{U_N})(x_i)|.$$

Obviously,  $M < \infty$ . Pick a natural number  $n \in \mathbb{N}$  such that  $n \geq M/\delta$ . Then  $|h(ne_{U_N})(x_i)| \leq M \leq n\delta$  for all  $i \in \overline{1, p}$ . From this inequality and condition (2.5)

it follows that  $|ne_{U_N}(y)| < n$ , hence  $|e_{U_N}(y)| < 1$ , therefore  $y \in U_N \subset U$ . Thus we obtain a contradiction.  $\square$

**Lemma 2.3.** *Let  $\{U_t\}_{t \in T} \subset \mathcal{U}$  and  $|T| \leq \tau$ . Then there is a family  $\{V_s\}_{s \in S} \subset [\mathcal{U}]_\tau$  closed under the operation of finite union and satisfying the following conditions:*

1.  $|S| \leq \tau$ ;
2. each set  $V_s$  is adequate;
3.  $\bigcup_{t \in T} U_t \subset \bigcup_{s \in S} V_s$ .

**Proof.** Let  $V_0 = \bigcup_{t \in T} U_t$ . Since the cover  $\mathcal{U}$  is  $\tau$ -nontrivial, there exists  $y_1 \in Y \setminus V_0$ . Choose  $x_1 \in X$  such that  $y_1 \in K_1(x_1)$ , i.e.,  $K_1(x_1) \not\subseteq V_0$  (such an element exists since the mapping  $x \mapsto K(x)$  is surjective), and choose a set  $V_1 \in \mathcal{U}$  such that  $K_1(x_1) \subset V_1$  (such a set exists since the set  $K_1(x_1)$  is finite and the family  $\mathcal{U}$  is closed under the operation of finite union). Assume that  $x_1, \dots, x_k$  and  $V_1, \dots, V_k$  are already chosen, where  $k \in \mathbb{N}$ . The set  $Y \setminus \bigcup_{i=0}^k V_i$  is nonempty, hence there is an element  $x_{k+1} \in X$  such that  $K_1(x_{k+1}) \not\subseteq \bigcup_{i=0}^k V_i$  and there is a set  $V_{k+1} \in \mathcal{U}$  such that  $K_1(x_{k+1}) \subset V_{k+1}$ . We obtain two sequences  $(x_n)_{n \in \mathbb{N}} \subset X$  and  $(V_n)_{n \in \mathbb{N}} \subset \mathcal{U}$  such that  $K_1(x_n) \not\subseteq \bigcup_{i=0}^{n-1} V_i$ ,  $V_n \in \mathcal{U}$ , and  $K_1(x_n) \subset V_n$  for any natural number  $n$ . Put  $V = \bigcup_{n \in \mathbb{N}} V_n$ . Let  $(W_s)_{s \in S}$  be the family of all finite unions of sets in  $(U_t)_{t \in T}$ . For each  $s \in S$  put  $V_s = W_s \cup V$ . Clearly, the family  $(V_s)_{s \in S} \subset [\mathcal{U}]_\tau$  is closed under the operation of finite union,  $|S| \leq \tau$ , and  $\bigcup_{t \in T} U_t \subset \bigcup_{s \in S} V_s$ . It remains to verify that each set  $V_s$  is adequate. Let  $s \in S$ . Fix a decomposition  $(F_n^s)_{n \in \mathbb{N}}$  of the set  $W_s$  and decomposition  $(F_n^k)_{n \in \mathbb{N}}$  of the set  $V_k$ , where  $k \in \mathbb{N}$ . The sequence  $(G_n^s)_{n \in \mathbb{N}}$ , where  $G_n^s = F_n^s \cup F_n^1 \cup \dots \cup F_n^n$ , is a required decomposition of the set  $V_s$ , since  $(x_n)_{n \in \mathbb{N}} \subset K_1^*(V_s)$  and  $x_{n+1} \notin K_1^*(G_n^s)$  for all  $n \in \mathbb{N}$ .  $\square$

**Lemma 2.4.** *Let  $\{V_s\}_{s \in S}$  be a family of adequate functionally open subsets of  $Y$  closed under the operation of finite union  $|S| \leq \tau$ . Then  $F(\bigcup_{s \in S} V_s)$  is an  $F_\tau$ -subset of  $X$ .*

**Proof.** Put  $V = \bigcup_{s \in S} V_s$ . Let  $(F_n^s)_{n \in \mathbb{N}}$  be a decomposition of  $V_s$  satisfying conditions  $F_n^s \in \mathcal{C}$ ,  $F_n^s \subset F_{n+1}^s$ , and  $K_1^*(V_s) \setminus K_1^*(F_n^s) \neq \emptyset$  for all  $n \in \mathbb{N}$ . For any natural number  $n$  and any  $s \in S$  we can find a function  $g_n^s \in C_p(Y)$  (see Lemma 3.5 on page 161) such that

$$g_n^s|_{F_n^s} \equiv 0, \quad g_n^s|_{Y \setminus V_s} \equiv 1.$$

For any  $x \in K_1^*(V_s)$  and  $k, n \in \mathbb{N}$  put

$$U_{k,n}^s(x) = \left\{ x' \in X : \left| h \left( ng_{k+N(x,s)}^s \right) (x') - h \left( ng_{k+N(x,s)}^s \right) (x) \right| < k \right\},$$

where  $N(x, s)$  is the smallest natural number  $N$  such that  $K_1(x) \subset F_N^s$ . Then  $U_{k,n}^s(x)$  is an open neighborhood of the point  $x$  in  $X$ . Put

$$A_s = \bigcap_{m \in \mathbb{N}} \bigcup_{k \geq m} \bigcap_{n \in \mathbb{N}} \bigcup_{x \in K_1^*(V_s)} U_{k,n}^s(x), \quad B_s = \{x \in X : K(x) \cap (V \setminus V_s) \neq \emptyset\},$$

$$A = \bigcap_{s \in S} (A_s \cup B_s).$$

Since each set  $\bigcup_{x \in K_1^*(V_s)} U_{k,n}^s(x)$  is open in  $X$ , by Corollary 3.7 on page 161 we have that  $\bigcup_{k \geq m} \bigcap_{n \in \mathbb{N}} \bigcup_{x \in K_1^*(V_s)} U_{k,n}^s(x)$  is a  $G_c$ -subset of  $X$  for any natural number  $n$ , which implies that  $A_s$  is a  $G_c$ -set. Since  $B_s$  is a  $G_\delta$ -subset of  $X$  (see Lemma 3.8 on page 161), it follows that  $A$  is a  $G_\tau$ -subset of  $X$ . Here we have used the fact that  $\tau \geq c$ . We shall prove that  $G(V) = A$ . Since  $F(V) = X \setminus G(V)$ , this will be sufficient to prove the lemma. We first prove that

$$(2.6) \quad F(V) \subset X \setminus A.$$

Take  $x' \in F(V)$ . Since  $K(x')$  is a finite set and the family  $\{V_s\}_{s \in S}$  is closed under the operation of finite union, there exists  $s \in S$  such that  $K(x') \cap V \subset V_s$ , i.e.,  $x' \notin B_s$ . It remains to prove that  $x' \notin A_s$ . There exists a natural number  $m_0$  satisfying the condition  $K(x') \cap V \subset F_{m_0}^s$ . Then

$$(2.7) \quad e_V|_{K(x')} = e_{V_s}|_{K(x')} = g_n^s|_{K(x')}$$

for any  $n \geq m_0$ . Since  $x' \in F(V)$ , for any  $k \in \mathbb{N}$  there is a natural number  $n_k$  such that

$$(2.8) \quad |h(n_k e_V)(x')| \geq k + a(x') + 1.$$

Take an arbitrary natural number  $k \geq m_0$ . We verify that  $x' \notin \bigcup_{x \in K_1^*(V_s)} U_{k,n_k}^s(x)$ .

From (2.7) and (P2) it follows that  $|h(n_k e_V)(x') - h(n_k g_n^s)(x')| \leq a(x')$  for any natural numbers  $n, k \geq m_0$  and this together with (2.8) gives the inequality  $|h(n_k g_n^s)(x')| \geq k + 1$ . Take an arbitrary  $x \in K_1^*(V_s)$ . It remains to

show that  $x' \notin U_{k, n_k}^s(x)$ . Since  $g_{k+N(x, s)}^s|_{K_1(x)} \equiv 0$ , from (P1) it follows that  $|h(n_k g_{k+N(x, s)}^s)(x)| \leq 1$ . Then

$$\begin{aligned} & \left| h\left(n_k g_{k+N(x, s)}^s\right)(x') - h\left(n_k g_{k+N(x, s)}^s\right)(x) \right| \\ & \geq \left| h\left(n_k g_{k+N(x, s)}^s\right)(x') \right| - \left| h\left(n_k g_{k+N(x, s)}^s\right)(x) \right| \geq (k+1) - 1 = k. \end{aligned}$$

Hence,  $x' \notin U_{k, n_k}^s(x)$ . Inclusion (2.6) is proved.

Let us prove the reverse inclusion  $X \setminus A \subset F(V)$ . Let  $x' \notin A$ . We shall show that  $x' \in F(V)$ . Choose  $s \in S$  such that  $x' \notin A_s \cup B_s$ . Then  $K(x') \cap V \subset V_s$ . Fix  $m_0 \in \mathbb{N}$  such that  $x' \notin \bigcup_{k \geq m_0} \bigcap_{n \in \mathbb{N}} \bigcup_{x \in K_1^*(V_s)} U_{k, n}^s(x)$  and take an arbitrary natural number  $k \geq m_0$ . Then there is  $n_k \in \mathbb{N}$  such that  $x' \notin \bigcup_{x \in K_1^*(V_s)} U_{k, n_k}^s(x)$ . Choose  $q \in \mathbb{N}$  such that  $K(x') \cap V \subset F_q^s$  and an element  $x_0 \in K_1^*(V_s)$  satisfying the condition  $K_1(x_0) \not\subset F_q^s$ . Such an element exists because the set  $V_s$  is adequate. Then  $N(x_0, s) > q$  and

$$K(x') \cap V = K_1(x') \cap V_s \subset F_q^s \subset F_{k+N(x_0, s)}^s.$$

Put  $i = k + N(x_0, s)$ . Since  $x' \notin U_{k, n_k}^s(x_0)$ , we have  $|h(n_k g_i^s)(x') - h(n_k g_i^s)(x_0)| \geq k$ . Besides,  $|h(n_k g_i^s)(x_0)| \leq 1$ . Hence, by the triangle inequality we obtain that

$$|h(n_k g_i^s)(x')| \geq k - 1.$$

Since  $e_V|_{K(x')} = e_{V_s}|_{K(x')} = g_i^s|_{K(x')}$ , we have  $|h(n_k g_i^s)(x') - h(n_k e_V)(x')| \leq a(x')$ . Then, again applying the triangle inequality we obtain

$$|h(n_k e_V)(x')| \geq |h(n_k g_i^s)(x')| - |h(n_k g_i^s)(x') - h(n_k e_V)(x')| \geq k - 1 - a(x'),$$

hence,  $\sup_{m \in \mathbb{N}} |h(m e_V)(x')| = \infty$ .  $\square$

Lemmas 2.4 and 2.3 yield the following corollary.

**Corollary 2.5.** *For any  $U \in [\mathcal{U}]_\tau$  there exists  $V \in [\mathcal{U}]_\tau$  such that  $U \subset V$  and  $F(V)$  is an  $F_\tau$ -subset of  $X$ .*

We shall now construct an expanding sequence  $(V_n)_{n \in \mathbb{N}}$  such that  $V_n \in [\mathcal{U}]_\tau$ . Simultaneously with it we shall construct a sequence  $(\mathcal{F}_n)_{n \in \mathbb{N}}$  such that  $\mathcal{F}_n \in \text{Fin } \mathcal{F}_\tau$  and  $\mathcal{F}_{n'} \subset \mathcal{F}_{n''}$  for any two natural numbers  $n' < n''$ .

Let  $\mathcal{F}_0 = \{X\}$ . Choose a set  $V_1 \in [\mathcal{U}]_\tau$  such that

$$U(\mathcal{F}_0) \subset V_1 \quad \text{and} \quad F(V_1) \in \mathcal{F}_\tau$$

(it is possible by Corollary 2.5), and put  $\mathcal{F}_1 = \{X, F(V_1)\}$ . Choose a set  $V_2 \in [\mathcal{U}]_\tau$  such that

$$V_1 \cup U(\mathcal{F}_1) \subset V_2 \quad \text{and} \quad F(V_2) \in \mathcal{F}_\tau.$$

Assume that we have already defined the sets  $V_i \in [\mathcal{U}]_\tau$  and  $\mathcal{F}_i \in \text{Fin } \mathcal{F}_\tau$  for every natural number  $i \leq k$  satisfying the following conditions:

1.  $F(V_i) \in \mathcal{F}_\tau$ ,  $1 \leq i \leq k$ ;
2.  $V_i \cup U(\mathcal{F}_i) \subset V_{i+1}$ ,  $1 \leq i \leq k-1$ , where  $\mathcal{F}_i = \{X, F(V_1), \dots, F(V_i)\}$ ,  $1 \leq i \leq k$ .

Choose a set  $V_{k+1} \in [\mathcal{U}]_\tau$  satisfying the following conditions:

$$(2.9) \quad V_k \cup U(\mathcal{F}_k) \subset V_{k+1} \quad \text{and} \quad F(V_{k+1}) \in \mathcal{F}_\tau.$$

Put  $\mathcal{F}_{k+1} = \{X, F(V_1), \dots, F(V_{k+1})\}$ . The sequences  $(V_n)_{n \in \mathbb{N}}$  and  $(\mathcal{F}_n)_{n \in \mathbb{N}}$  are defined.

We shall prove by induction with respect to  $n$  the following assertion.

**Assertion 2.6.** *For any natural number  $n$  and each set  $\{j_1, \dots, j_k\} \subset \{1, \dots, n\}$  such that  $F(V_{j_1}) \cap \dots \cap F(V_{j_k}) \neq \emptyset$  the following inequality holds:*

$$(2.10) \quad \rho(F(V_{j_1}) \cap \dots \cap F(V_{j_k})) \geq k + 1.$$

**Proof.** We shall show that  $\rho(F(V_n)) \geq 2$ . For any  $x \in X$  by inequality (2.1) we have

$$|K(x) \cap V_n| \geq |K(x) \cap V_1| \geq |K(x) \cap U(\mathcal{F}_0)| \geq \rho(X) \geq 1.$$

Therefore, if  $\rho(x) = 1$  for some  $x \in X$ , then  $K(x) \subset V_n$ , hence, by (S1) we have  $x \notin F(V_n)$ . This implies that  $\rho(F(V_n)) \geq 2$ . In particular, this yields that the assertion is valid for  $n = 1$ .

Assume that Assertion 2.6 holds for every natural number  $n \leq N$ . We shall prove that it holds for  $n = N + 1$ . It suffice to show that for each subset  $\{j_1, \dots, j_k\} \subset \{1, \dots, N\}$  such that  $F = F(V_{j_1}) \cap \dots \cap F(V_{j_k}) \cap F(V_{N+1}) \neq \emptyset$

the following inequality holds:  $\rho(F) \geq k+2$ . Put  $F' = F(V_{j_1}) \cap \dots \cap F(V_{j_k})$ , then  $F = F' \cap F(V_{N+1})$ . By induction hypothesis we have inequality  $\rho(F') \geq k+1$ . Assume that  $\rho(F) = k+1$  to obtain a contradiction.

Take an element  $x \in F$  such that  $|K(x)| = k+1$ . Since  $F' \in \overline{\mathcal{F}_N}$ , we see that from (2.9) and (2.1) it follows that

$$|K(x) \cap V_{N+1}| \geq |K(x) \cap U(\mathcal{F}_N)| \geq \rho(F') \geq k+1.$$

Hence,  $K(x) \subset V_{N+1}$  and condition (S2) implies that  $x \notin F(V_{N+1})$ . Therefore  $x \notin F$ . This contradiction completes the proof of Assertion 2.6.

In particular, inequality (2.10) implies that for any  $x \in X$  there exists a natural number  $k$  such that  $x \notin F(V_n)$  for all  $n > k$ , i.e., that  $x \in G(V_n)$ . In other words, equality (2.4) holds. By Lemma 2.2 we obtain  $Y = \bigcup_{n \in \mathbb{N}} V_n$ . Since  $V_n \in [\mathcal{U}]_\tau$  for any  $n \in \mathbb{N}$ , we see that the cover  $\mathcal{U}$  of  $Y$  is  $\tau$ -trivial, a contradiction. Hence,  $l(Y) \leq \tau$ .  $\square$

**Corollary 2.7.** *Let the spaces  $C_p(X)$  and  $C_p(Y)$  be uniformly homeomorphic, and let  $l(X), l(Y) \geq c$ . Then  $l(X) = l(Y)$ .*

**Corollary 2.8.** *Let the spaces  $C_p(X)$  and  $C_p(Y)$  be uniformly homeomorphic. Then  $l(X) \leq c$  if and only if  $l(Y) \leq c$ .*

The statement of Theorem 0.1 follows from Corollaries 2.7 and 2.8.

**Problem 2.9** *Are there spaces  $X$  and  $Y$  such that  $l(X) = c$ ,  $l(Y) < c$  and  $C_p(X)$  is uniformly homeomorphic to  $C_p(Y)$ ?*

### 3. Auxiliary statements used in the proof.

**Theorem 3.1.** *Let  $h: C_p(Y) \rightarrow C_p(X)$  be a uniform homeomorphism. Then there is a uniform homeomorphism  $\bar{h}: \mathbb{R}^Y \rightarrow \mathbb{R}^X$  such that  $\bar{h}(g) = h(g)$  for all  $g \in C_p(Y)$ .*

**Proof.** Let  $\tilde{K}_n(x) = \bigcup_{m=1}^n K_{1/m}(x)$ ,  $\tilde{K}(x) = \bigcup_{m=1}^\infty K_{1/m}(x)$ , where  $x \in X$ . For the mapping  $H = h^{-1}: C_p(X) \rightarrow C_p(Y)$  we define such mappings as defined in section 1 for  $h$ . For any  $y \in Y$ ,  $\delta > 0$ , and any finite subset  $L \subset X$  put

$$b(y, L, \delta) = \sup\{|H(f')(y) - H(f'')(y)| : f', f'' \in C_p(X), |f'(x) - f''(x)| < \delta \text{ for all } x \in L\}.$$



We also put

$$b(y, L, 0) = \sup\{|H(f')(y) - H(f'')(y)| : f', f'' \in C_p(X), f'(x) = f''(x) \text{ for all } x \in L\}.$$

As in the case of the mapping  $h$ , for every  $y \in Y$  there exist finite sets  $L(y) \subset X$  and  $L_\varepsilon(y) \subset X$  for any  $\varepsilon > 0$  satisfying the following conditions:

1.  $b(y, L(y), \delta) < \infty$  for all  $\delta \geq 0$ ;
2.  $b(y, L', \delta) = \infty$  for all  $\delta \geq 0$ , where  $L'$  is a proper subset of  $L(y)$ ;
3. If  $b(y, L, \delta) < \infty$  for some finite set  $L \subset X$  and  $\delta \geq 0$ , then  $L(y) \subset L$ ;
4.  $b(y, L_\varepsilon(y), 0) \leq \varepsilon$ ;
5.  $b(y, L', 0) > \varepsilon$ , where  $L'$  is a proper subset of  $L_\varepsilon(y)$ ;
6.  $L(y) \subset L_\varepsilon(y)$ .

Let  $\tilde{L}_n(y) = \bigcup_{m=1}^n L_{1/m}(y)$ ,  $\tilde{L}(y) = \bigcup_{m=1}^\infty L_{1/m}(y)$ , where  $y \in Y$ .

For the proof we need two lemmas.

**Lemma 3.2.**  $y \in \bigcup_{x \in L(y)} K(x)$  for any  $y \in Y$ .

*Proof.* Let  $K = \bigcup_{x \in L(y)} K(x)$ . Assume that  $y \notin K$  to obtain a contradiction. Let  $\delta = \max\{a(x) : x \in L(y)\}$ ,  $b = b(y, L(y), \delta)$ . Take a function  $g \in C_p(Y)$  such that  $g|_K \equiv 0$  and  $g(y) = b + 1$ . Since  $g|_{K(x)} \equiv 0$ , we have  $|h(g)(x)| \leq a(x) \leq \delta$  for any  $x \in L(y)$ . Then  $b + 1 = |g(y)| \leq b(y, L(y), \delta) = b$ . This contradiction completes the proof.  $\square$

We now define a mapping  $\bar{h} : \mathbb{R}^Y \rightarrow \mathbb{R}^X$ . Let  $g \in \mathbb{R}^Y$  and  $x \in X$ . Let  $(g_n)_{n \in \mathbb{N}}$  be a sequence of continuous functions on  $Y$  such that  $g_n|_{\tilde{K}_n(x)} = g|_{\tilde{K}_n(x)}$  for each  $n \geq n_0$ , where  $n_0$  is some natural number. We shall prove that the sequence  $(h(g_n)(x))_{n \in \mathbb{N}}$  has a limit. Take  $\varepsilon > 0$  and put  $N = \max([1/\varepsilon] + 1, n_0)$ , where  $[x]$  denotes the integer part of  $x$ . Then  $g_n|_{\tilde{K}_N(x)} = g_m|_{\tilde{K}_N(x)}$  for all  $n, m \geq N$ , hence,  $|h(g_n)(x) - h(g_m)(x)| \leq 1/N < \varepsilon$ . We obtain that the sequence  $(h(g_n)(x))_{n \in \mathbb{N}}$  is fundamental (Cauchy sequence), hence it has a limit. We define a mapping  $\bar{h}$  by the formula

$$\bar{h}(g)(x) = \lim_{n \rightarrow \infty} h(g_n)(x).$$

We have to prove that the definition does not depend on the choice of the sequence  $(g_n)_{n \in \mathbb{N}}$ . Let  $(g'_n)_{n \in \mathbb{N}}$  be another sequence of continuous functions on  $Y$  such that  $g'_n|_{\tilde{K}_n(x)} = g|_{\tilde{K}_n(x)}$  starting from some  $n_1$ , and let  $a = \lim_{n \rightarrow \infty} h(g_n)(x)$ ,

$b = \lim_{n \rightarrow \infty} h(g'_n)(x)$ . From the sequences  $\{g_n\}$  and  $\{g'_n\}$ , we construct another sequence  $\{g''_n\}$  defined by the formula

$$g''_n = \begin{cases} g_n & \text{if } n \text{ is odd;} \\ g'_n & \text{if } n \text{ is even.} \end{cases}$$

As shown above, there is a limit of the sequence  $(h(g''_n)(x))_{n \in \mathbb{N}}$  which we denote by  $c$ . Then

$$c = \lim_{n \rightarrow \infty} h(g''_n)(x) = \lim_{n \rightarrow \infty} h(g''_{2n})(x) = \lim_{n \rightarrow \infty} h(g''_{2n-1}),$$

which implies that  $a = b = c$ . Obviously, if  $g \in C_p(Y)$ , then  $\bar{h}(g) = h(g)$ .

We now define a mapping  $\bar{H}: \mathbb{R}^X \rightarrow \mathbb{R}^Y$ . Let  $f \in \mathbb{R}^X$  and  $y \in Y$ . Let  $(f_n)_{n \in \mathbb{N}}$  be a sequence of continuous functions on  $X$  such that  $f_n|_{\tilde{L}_n(y)} = f|_{\tilde{L}_n(y)}$  starting from some  $n_0$ . Similarly, we can prove that there is a limit of the sequence  $(h^{-1}(f_n)(y))_{n \in \mathbb{N}}$ . Consider the mapping  $H$  defined by the formula  $\bar{H}(f)(y) = \lim_{n \rightarrow \infty} h^{-1}(f_n)(y)$ . It can be proved analogously that the definition is correct and  $\bar{H}(f) = h^{-1}(f)$  for all  $f \in C_p(X)$ .

**Lemma 3.3.** *The mappings  $\bar{h}: \mathbb{R}^Y \rightarrow \mathbb{R}^X$  and  $\bar{H}: \mathbb{R}^X \rightarrow \mathbb{R}^Y$  are uniformly continuous.*

**Proof.** Take  $x \in X$  and  $\varepsilon > 0$ . Choose  $N \in \mathbb{N}$  such that  $N > 4/\varepsilon$ . Then for each natural number  $n \geq N$  we have  $a(x, \tilde{K}_n(x), 0) \leq 1/N < \varepsilon/4$ . Since the mapping  $\delta \mapsto a(x, K, \delta)$  is continuous at zero, there exists  $\delta > 0$  such that

$$(3.1) \quad a(x, \tilde{K}_N(x), \delta) < \varepsilon/2.$$

Let  $g', g'' \in \mathbb{R}^Y$  and  $|g'(y) - g''(y)| < \delta$  for any  $y \in \tilde{K}_N(x)$ . We shall consider the sequences  $(g'_n)_{n \in \mathbb{N}}$ ,  $(g''_n)_{n \in \mathbb{N}} \subset C_p(Y)$  such that  $g'_n|_{\tilde{K}_n(x)} = g'|_{\tilde{K}_n(x)}$  and  $g''_n|_{\tilde{K}_n(x)} = g''|_{\tilde{K}_n(x)}$  for all  $n \in \mathbb{N}$ . Then  $|h(g'_N)(x) - h(g'_n)(x)| \leq 1/N < \varepsilon/4$  and  $|h(g''_N)(x) - h(g''_n)(x)| \leq 1/N < \varepsilon/4$  for all  $n \geq N$ . It is clear that  $\lim_{n \rightarrow \infty} h(g'_n)(x) = \bar{h}(g')(x)$  and  $\lim_{n \rightarrow \infty} h(g''_n)(x) = \bar{h}(g'')(x)$ . Hence, passing to the limit in the last inequalities as  $n \rightarrow \infty$ , we obtain inequalities  $|h(g'_N)(x) - \bar{h}(g')(x)| < \varepsilon/4$  and  $|h(g''_N)(x) - \bar{h}(g'')(x)| < \varepsilon/4$ . In addition,  $|g'_N(y) - g''_N(y)| < \delta$  for all  $y \in \tilde{K}_N(x)$ , therefore, from (3.1) it follows that  $|h(g'_N)(x) - h(g''_N)(x)| < \varepsilon/2$ . Then

$$\begin{aligned} & |\bar{h}(g')(x) - \bar{h}(g'')(x)| \\ &= |(\bar{h}(g')(x) - h(g'_N)(x)) + (h(g'_N)(x) - h(g''_N)(x)) + (h(g''_N)(x) - \bar{h}(g'')(x))| \\ &< \varepsilon/4 + \varepsilon/2 + \varepsilon/4 = \varepsilon. \end{aligned}$$

The proof for  $\bar{H}$  is analogous.  $\square$

We now prove that  $\bar{H} = \bar{h}^{-1}$ . Let  $g \in \mathbb{R}^Y$ ,  $y \in Y$ . We shall show that  $\bar{H}(\bar{h}(g))(y) = g(y)$ . For any natural numbers  $n, m$  put

$$\tilde{K}_{n,m}(y) = \bigcup_{x \in \tilde{L}_n(y)} \tilde{K}_m(x).$$

Take a sequence  $(f_n)_{n \in \mathbb{N}} \subset C_p(X)$  such that  $f_n|_{\tilde{L}_n(y)} = \bar{h}(g)|_{\tilde{L}_n(y)}$  for every natural number  $n$ . Put  $g_n = h^{-1}(f_n) \in C_p(Y)$ . Then  $\bar{H}(\bar{h}(g))(y) = \lim_{n \rightarrow \infty} g_n(y)$ . Since the mapping  $\delta \mapsto b(y, L, \delta)$  is continuous at zero, for any natural number  $n$  there is  $\delta_n > 0$  such that for any two functions  $g', g'' \in C_p(Y)$  the following implication holds:

$$(3.2) \quad \left( |h(g')(x) - h(g'')(x)| < \delta_n \text{ for all } x \in \tilde{L}_n(y) \right) \Rightarrow |g'(y) - g''(y)| < 2/n.$$

Take a sequence  $(g'_m)_{m \in \mathbb{N}} \subset C_p(Y)$  such that  $g'_m|_{\tilde{K}_{m,m}(y)} = g|_{\tilde{K}_{m,m}(y)}$  for all natural number  $m$ . Then for each  $x \in \tilde{L}(y)$  there is natural number  $m_x$  such that for any  $m \geq m_x$  we have  $g'_m|_{\tilde{K}_{m_x}(x)} = g|_{\tilde{K}_{m_x}(x)}$ ; hence,  $\lim_{m \rightarrow \infty} h(g'_m)(x) = \bar{h}(g)(x)$  for each  $x \in \tilde{L}(y)$ . Therefore, for any natural number  $n$  there is  $m_n \in \mathbb{N}$  such that  $|h(g'_{m_n})(x) - \bar{h}(g)(x)| < \delta_n$  for each  $x \in \tilde{L}_n(y)$ ; hence,

$$|h(g'_{m_n})(x) - h(g_n)(x)| = |h(g'_{m_n})(x) - f_n(x)| = |h(g'_{m_n})(x) - \bar{h}(g)(x)| < \delta_n$$

for each  $x \in \tilde{L}_n(y)$ . From (3.2) it follows that  $|g'_{m_n}(y) - g_n(y)| < 2/n$ . Since  $y \in \tilde{K}_{1,1}(y)$  by Lemma 3.2, we obtain the equality  $g'_m(y) = g(y)$  for every natural number  $m$ , which implies that  $|g(y) - g_n(y)| < 2/n$ . Passing to the limit in this inequality as  $n \rightarrow \infty$ , we obtain that  $g(y) = \bar{H}(\bar{h}(g))(y)$ . It can be proved analogously that  $\bar{h}(\bar{H}(f)) = f$  for any  $f \in \mathbb{R}^X$ , which implies that  $\bar{H} = \bar{h}^{-1}$ . This completes the proof of Theorem 3.1.  $\square$

**Lemma 3.4.** *Let  $U$  be a functionally open subset of  $X$ . Then there is an expanding sequence  $(F_n)_{n \in \mathbb{N}}$  of functionally closed subset of  $X$  such that  $U = \bigcup_{n \in \mathbb{N}} F_n$ .*

**Proof.** Let  $f: X \rightarrow [0, 1]$  be a continuous function such that  $U = f^{-1}(0, 1]$ . Put  $F_n = f^{-1}(\frac{1}{n}, 1]$  for every  $n \in \mathbb{N}$ . It is easy to verify that each set  $F_n$  is functionally closed and  $U = \bigcup_{n \in \mathbb{N}} F_n$ .  $\square$

**Lemma 3.5.** *Let  $U$  and  $V$  be functionally closed subset of  $X$ . Then there is a continuous function  $f: X \rightarrow [0, 1]$  such that  $f^{-1}(0) = U$ ,  $f^{-1}(1) = V$ .*

*Proof.* See [2], page 43.

**Lemma 3.6.** *Let  $S$  and  $T$  be nonempty sets and let  $\{X_{s,t}\}_{(s,t) \in S \times T}$  be a family of subsets of  $X$ . Then*

$$\bigcup_{s \in S} \bigcap_{t \in T} X_{s,t} = \bigcap_{f \in T^S} \bigcup_{s \in S} X_{s,f(s)}.$$

*Proof.* Put  $A = \bigcup_{s \in S} \bigcap_{t \in T} X_{s,t}$ ,  $B = \bigcap_{f \in T^S} \bigcup_{s \in S} X_{s,f(s)}$ .

Let  $x \in A$ . Then there is  $s_0 \in S$  such that  $x \in X_{s_0,t}$  for all  $t \in T$ . Let  $f \in T^S$ . Then  $x \in X_{s_0,f(s_0)}$ , hence  $x \in \bigcup_{s \in S} X_{s,f(s)}$ , which implies that  $x \in B$ , i.e., that  $A \subset B$ .

Let  $x \notin A$ . Then for each  $s \in S$  there is  $t = f(s) \in T$  such that  $x \notin X_{s,f(s)}$ ; hence  $x \notin \bigcup_{s \in S} X_{s,f(s)}$  and  $x \notin B$ , i.e.,  $B \subset A$ .  $\square$

The previous lemma implies the following corollary.

**Corollary 3.7.** *If in the condition of the previous lemma we require that  $S$  and  $T$  should be countable and each set  $X_{s,t}$  should be open in  $X$ , then the set  $\bigcup_{s \in S} \bigcap_{t \in T} X_{s,t}$  is a  $G_c$ -subset of  $X$ .*

**Lemma 3.8.** *The set  $B_s = \{x \in X: K(x) \cap (V \setminus V_s) \neq \emptyset\}$  is a  $G_\delta$ -subset of  $X$ .*

*Proof.* Let  $(F_n^s)_{n \in \mathbb{N}}$  be a decomposition of  $V_s$  satisfying the following conditions:

$$F_n^s \in \mathcal{C} \text{ and } F_n^s \subset F_{n+1}^s \text{ for all } n \in \mathbb{N}.$$

Put  $U_n = V \setminus F_n^s$ . Then  $V \setminus V_s = \bigcap_{n \in \mathbb{N}} U_n$ , where each  $U_n$  is open and  $U_n \supset U_{n+1}$  for all  $n \in \mathbb{N}$ . Let  $C_s = \bigcap_{n \in \mathbb{N}} K^{-1}(U_n)$ . We shall show that  $B_s = C_s$ . The inclusion  $B_s \subset C_s$  is obvious. Let  $x \in C_s$ . Since  $K(x)$  is finite, there is  $y \in K(x)$  such that  $y \in U_n$  for all  $n$  in some infinite subset of  $\mathbb{N}$ . Hence,  $y \in \bigcap_{n \in \mathbb{N}} U_n$  and  $x \in B_s$ . By Corollary 1.5 on page 147, the set  $K^{-1}(U_n)$  is a  $G_\delta$ -subset of  $X$  for all  $n \in \mathbb{N}$ . This implies that  $B_s$ , as a countable intersection of  $G_\delta$ -sets, is a  $G_\delta$ -set.  $\square$

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